

PATENT
0304520

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application
Morito MORISHIMA

Group No.: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: June 26, 2003

For: **OPTICAL DISC RECORDING APPARATUS AND
METHOD OF FORMING AN IMAGE ON AN OPTICAL
DISC**

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

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Date of Deposit: June 26, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
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Dear Sir:

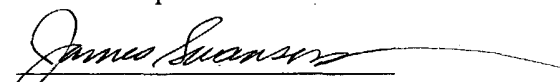
I hereby certify that

- ☒ Letter of transmittal
- ☒ Patent application (49 pages of specification; 15 claims; 1 pages of abstract)
- ☒ A check in the amount of \$1002.00 to cover the filing fee
- ☒ 12 sheets of informal drawings
- ☒ A Declaration/Power of Attorney
- ☒ An Assignment of the invention with cover sheet and \$40.00 recordation fee
- ☒ Certified copy of (1) Japanese patent application from which priority is claimed
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 CFR 1.10 on the date indicated above and are addressed to:

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James Swanson



06/26/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): Morito MORISHIMA

Title OPTICAL DISC RECORDING APPARATUS AND METHOD
OF FORMING AN IMAGE ON AN OPTICAL DISC

Atty. Dkt.: P 0304520 | H7953US
M# Client Ref

including:

Date: June 26, 2003

1. Specification: 49 pages (only spec. and claims)
2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 15 numbered claims
4. ☒ Drawings: 12 sheet(s) ☒ informal; ☐ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 6 or 7). (Prior M# _____ SN _____)
6. This application claims benefit of the following prior US application(s), the contents of which are incorporated into this application by this reference:

No. <u> / </u>	filed	_____
No. <u> / </u>	filed	_____
No. <u> / </u>	filed	_____
No. PCT <u> / </u>	filed	_____, which

designated the US.

7. ☐ See the attached Preliminary Amendment, which amends the specification to claim benefit of the above listed US application(s)

8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

9. ☒ Assignee Yamaha Corporation

Address 10-1, Nakazawa-cho, Hamamatsu-shi, Shizuoka-ken, Japan

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan

11. _____ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2002-190634	June 28, 2002	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 rd page for additional priorities	

12. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. / filed on _____
13. Small entity status → ☒ is not claimed; ☐ is claimed (Pre-filing confirmation required)
- 13(a). ☐ Attached: _____ (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) not essential to make claim)
- 13(b) ☐ See NONPUBLICATION REQUEST under Rule 213(a) attached (PAT-258)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☐ Attached:

17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$750/\$375	\$750	101/201
19. Total Effective Claims	15	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	6	minus 3 =	*3	x \$84/\$42 =	+ 252	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present , add (Leave this line blank if this is a reissue application)				+ \$280/\$140	+ 0	104/204
22.				TOTAL FILING FEE =	\$1002	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26.				TOTAL FEE =	\$1042	
Our Deposit Account No. 03-3975				PLEASE CHARGE OUR DEP. ACCT.		
Our Order No. 051270		0304520				
C#				M#		

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP
Intellectual Property Group**

725 South Figueroa Street, Suite 2800
Los Angeles, CA 90017-5406
Tel: (213) 488-7100

By Atty: Roger R. Wise

Reg. No. 31204

Sig: Roger R. Wise

Fax: (213) 629-1033
Tel: (213) 488-7584

RRW/JES

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments